

Risk Profile

The risk profile has been designed to lead you through a process which explains clearly how your personal circumstances must affect your choice of investment risk – and how the chosen level of investment risk will determine both volatility and your ultimate investment returns. **Please click on the applicable box.**

		LOWER RISK			HIGHER RISK	
1.	Your Age	I'm older than 60	I'm in my 50s	I'm in my 40s	I'm in my 30s	I'm in my 20s
2.	Investment Goal	Income	Income	Income & growth	Growth	Growth
3.	Investment Term	Short term	3 to 5 years	At least 5 years	At least 5 - 7 years	At least 5 - 10 years
4.	Return Expectations	Inflation + 1%	Inflation + 2%	Inflation + 3%	Inflation + 4%	Inflation + 5%
5.	Expected Volatility	One year returns between 0% to 10%	One year returns between -5 to 15%	One year returns between -10% to 20%	One year returns between -15% to 25%	One year returns between -20% to 30%

My Risk Profile

1 LOW	2 LOW TO MODERATE	3 MODERATE	4 MODERATE TO HIGH	5 HIGH
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Client Name _____ Adviser Name _____ Date _____

Client Signature _____ Adviser Signature _____